Leadership Profile

The University of Alabama System

Vice Chancellor for Finance and Administration

This search is being assisted by: Academic Search
THE UNIVERSITY OF ALABAMA SYSTEM

VICE CHANCELLOR FOR FINANCE AND ADMINISTRATION

The University of Alabama System announces a national search for the Vice Chancellor for Finance and Administration. The successful candidate will be the senior financial officer for the UA System (www.uasystem.ua.edu), working closely with the Chancellor, campus administrators, and Board of Trustees of Alabama’s largest higher education enterprise, which includes three doctoral research universities and the acclaimed UAB Health System (www.uabmedicine.org).

The Search Advisory Committee seeks an individual with broad experience in higher education finance and administration, a master’s or doctoral degree in a business discipline, and the ability to make informed and effective strategic decisions in a complex fiscal setting. The highest level of personal integrity and a substantial record of administrative achievement are essential. This is a rare career opportunity to help shape the future direction of one of America’s most fiscally sound and forward-focused public university systems. With 37,000 employees, more than 63,000 students, and an operating budget exceeding $5.5 billion, the UA System is known nationally for a broad, diversified revenue base, record student enrollment, and state-of-the-art facilities on the campuses in Birmingham, Huntsville, and Tuscaloosa.

Administratively, each campus is led by a president who reports to the Chancellor. A primary key to the UA System’s success is the governance structure, which is headed by an independent and self-nominating Board of Trustees which recognizes and supports the vital importance of progressive higher education.

OPERATING PHILOSOPHY

The UA System Office operating philosophy is to add value and facilitate the ability of the campuses to excel. Headed by the Chancellor, who serves as the primary reporting channel for the presidents of each institution, The UA System Office facilitates the work of the Board of Trustees, ensures the fiscal integrity of the three campuses, directs legal affairs and audit functions, operates a state-of-the-art information technology infrastructure, initiates systemwide activities and outreach, and presents a unified public affairs program. The System Office also approves and reviews academic programs, supports growth and development of the UAB Health System, as well as economic growth initiatives throughout the state.

GOVERNANCE STRUCTURE

The University of Alabama System consists of three doctoral research universities: The University of Alabama, The University of Alabama at Birmingham, and The University of Alabama in Huntsville. The System is governed by The Board of Trustees of The University of Alabama as stipulated by the Alabama Constitution. The purpose of the Board of Trustees is to ensure the effective leadership, management, and control of the institutions of the System in order to provide for a definitive, orderly form of governance, and to secure and maintain
responsive, progressive, and superior institutions of higher education. The Board of Trustees created the present multi-campus structure in 1969, and each of the component institutions has a unique mission that is consistent with the broader mission of the System.

The Board of Trustees executes its governance responsibilities through a chancellor, who serves as the chief executive officer of the System. A president heads each campus with responsibility for campus administration and reports directly to the Chancellor and through the Chancellor to the Board of Trustees. The Board of Trustees and the Chancellor delegate certain administrative functions and maintain such offices as deemed appropriate to meet the administrative needs of the System. The Chancellor also provides linkage between the System and various components of state and federal governments, as well as other educational groups and organizations.

The Constitution of the State of Alabama states that the Board is composed of three members from the Congressional district in which The University of Alabama (Tuscaloosa) is located and two members from each of the six remaining districts. The Governor of the State and the Superintendent of Education are ex-officio members. Those members who are not ex-officio are elected by the Board, subject to confirmation by the State Senate. If the Senate rejects a name of any person submitted to it by the Board, then the Senate elects a person in place of the one rejected. Trustees may serve up to three consecutive, full six-year terms, except for ex-officio members.

The purpose of the Board is to ensure the effective leadership, management, and control over the activities of the institutions within the System in order to provide for a definitive, orderly form of governance, and to secure and continue responsive, progressive, and superior institutions of higher education. The primary functions of the Board are to determine the major policies of the System to include the review of existing policy; to define the mission, role, and scope of each campus; and to assume ultimate accountability to the public and political bodies of Alabama. Rules, policies, and procedures are promulgated to ensure that, through the UAS Office, the necessary flow of information for such accountability takes place.

GUIDING PRINCIPLES

1. The careful management of resources is achieved through strong and responsive governance. The System maintains an effective process for the review and approval of academic and financial matters at the institution and System levels and strives to achieve the most effective and efficient use of resources by encouraging inter-institutional cooperation whenever possible and appropriate.
2. The System promotes opportunities for equal access for all qualified students and values diversity among its students, faculty, staff, and administration.
3. The System encourages collaboration with elementary/secondary schools, community colleges, and other institutions of higher education whenever possible and appropriate, and supports the PK-Ph.D. concept as a way of helping to ensure high-quality programs, services, and student performance at all levels of education.
4. The quality of education is regularly assessed with the goal of improvement. The System promotes careful planning, review, and evaluation to ensure the best possible results from the programs, services, and activities of its institutions.
5. The System recognizes that its component institutions differ in mission, role, scope, and academic characteristics, and is committed to maintaining institutional diversity.
6. To achieve selective excellence, the System recognizes that academic resources may be concentrated according to different patterns at different institutions and with different results.
7. The System helps stimulate the continuing awareness of ethics and human values.
8. The System assumes an active role in advocating for and responding to the needs of its component institutions.
9. The System recognizes the linkage between a quality system of higher education and future economic opportunities for its students, and acknowledges its vital role in promoting the economic advancement of Alabama.
10. The System supports its institutions as they engage in high-quality, innovative, and relevant research that involves the discovery, dissemination, and application of knowledge.
11. The System recognizes the need to serve citizens beyond the boundaries of the campuses through outreach programs and services.
12. The System is committed to providing high-quality health care through its hospitals and clinics as it engages in preeminent medical education, research, and health related programs.
13. The strength of the System depends on the quality of its leadership. A commitment to seek, appoint, and support administrators, faculty, and staff who ascribe to sound academic principles and possess professional and personal characteristics that ensure solid and positive growth of all aspects of the System is essential.

To review the most recent financial reports The University of Alabama System institutions, please see [http://uasystem.ua.edu/profile/financial-statements/](http://uasystem.ua.edu/profile/financial-statements/).

THE UNIVERSITY OF ALABAMA

Located in the All-American city of Tuscaloosa ([www.tcvb.org](http://www.tcvb.org)) with a population of more than 95,000, The University of Alabama’s stunning 1,026-acre campus is a proven recruitment tool. Students say it is “love at first sight” when they first see the elegant brick Georgian buildings and feel the spirit of the legendary Crimson Tide. Historic campus buildings are meticulously restored and stand alongside state-of-the-art research and classroom facilities including the iconic Shelby Quadrangle complex. For a picturesque aerial drone campus tour, please visit [http://vimeo.com/104625184](http://vimeo.com/104625184).

The University has made $1.95 billion in capital improvements in just over a decade, with more than 72 new buildings constructed since 2003. It is no surprise that occupancy of the campus’s popular residence halls surpasses 93 percent, and the vast array of dining options and recreational facilities are among the nation’s best. In the last 12 years, 12 new residence halls have been constructed along with new houses for the University’s sororities and fraternities.
The University of Alabama awarded 5,408 bachelor’s degrees and 2,174 graduate and professional degrees in 2013–14, reflecting an increase over the last five years of 46 percent in bachelor’s degrees and 29 percent in graduate degrees. Students study in 12 schools and colleges, including:

- College of Arts and Sciences
- Culverhouse College of Commerce and Business Administration
- College of Communication and Information Sciences
- College of Community Health Sciences
- College of Education
- College of Engineering
- Graduate School
- Honors College
- College of Human Environmental Sciences
- School of Law
- Capstone College of Nursing
- School of Social Work

For more information on the University of Alabama, please see https://www.ua.edu/.

THE UNIVERSITY OF ALABAMA AT BIRMINGHAM

UAB is a comprehensive urban university with a nationally recognized academic health center. UAB is the only public, four-year degree granting university in the state’s largest metropolitan area. UAB is the largest research institution in the state of Alabama and the university is the largest employer in Birmingham. In a little more than four decades, UAB has transformed from its modest beginnings as an extension center into a doctoral research university and academic medical center.

UAB’s vision is to be an internationally renowned research university — a first choice for education and health care. UAB’s mission is to be a research university and academic health center that discovers, teaches, and applies knowledge for the intellectual, cultural, social, and economic benefit of Birmingham, the state, and beyond.

UAB offers undergraduate, graduate, and doctoral degrees in Arts and Sciences, Business, Education, Engineering, Health Professions, Nursing, and Public Health. Its first-professional schools are Dentistry, Medicine, and Optometry.

- Arts and Sciences
- Business
- Dentistry
- Education
- Engineering
• Health Professions
• Honors College
• Medicine
• Nursing
• Optometry
• Public Health

For more information on the University of Alabama at Birmingham, please see http://www.uab.edu/home/.

THE UNIVERSITY OF ALABAMA IN HUNTSVILLE

The University of Alabama in Huntsville is one of the nation’s premier research universities, offering a challenging hands-on curriculum that ensures its graduates are prepared to become tomorrow’s leaders. The university comprises eight colleges that offer more than 100 areas of study to its 7,400 students. U.S. News & World Report has consistently ranked it among the magazine’s Tier 1 national universities, while the Carnegie Foundation classifies it as a “very high” research activity institution, one of only 73 in the United States. Its mission is to explore, discover, create, and communicate knowledge, while educating individuals in leadership, innovation, critical thinking, and civic responsibility and inspiring a passion for learning.

UAH’s eight colleges offer over 110 areas of study. And as a Tier 1 research university, UAH has more than a dozen research centers, as well as numerous labs and facilities, to allow its students to get hands-on experience in the field of their choosing. UAH’s small class sizes and low faculty-to-student ratio ensure personalized attention, while its Student Success Center provides the resources students need to succeed inside the classroom and beyond.

• Arts Humanities, and Social Sciences
• Engineering
• Nursing
• Science
• Business Administration
• Education
• Honors
• Graduate Studies

For more information on the University of Alabama in Huntsville, please see http://www.uah.edu/.
UAB HEALTH SYSTEM

University of Alabama at Birmingham (UAB) Health System is an academic health center located in Birmingham, Alabama. UAB is the premier medical facility in the state and region, with healthcare professionals who are second to none. As one of US News & World Report’s Best Hospitals and a winner of the Women’s Choice award, UAB is a national leader in patient care, research, and training. Medical professionals from around the nation look to UAB to be in the vanguard of medicine.

The centerpiece of the UAB Health System is UAB Hospital, one of the largest and most advanced in the nation. It was established in 1945 as the teaching hospital for what now is the UAB School of Medicine. Situated among major research centers and clinics, UAB Hospital provides patients with a complete range of primary and specialty care services, as well as the most up-to-date treatments and innovations in health care. As a university hospital, UAB Hospital is a major center for clinical research and home to some of the top medical programs in America.

UAB is the largest academic medical center in Alabama and one of the largest academic medical centers in the United States.

- UAB’s nationally ranked Heart and Vascular Services provides the full spectrum of cardiovascular care and performs the most advanced cardiovascular, peripheral vascular, and thoracic surgery procedures available.
- The UAB Comprehensive Cancer Center provides oncology treatment and care and performs some of the nation’s most advanced cancer research, utilizing the largest cyclotron in a U.S. academic medical center.
- As the only Adult Level I Trauma Center in Alabama, UAB Hospital is equipped to handle any medical emergency.
- UAB’s level IV Regional Neonatal Intensive Care Unit is the only one of its kind in Alabama, caring for the sickest newborns in the state and region.
- UAB’s Comprehensive Transplant Center is a national leader in kidney transplants.
- The UAB Callahan Eye Hospital was the first level I ocular trauma center in the nation and is the only one in Alabama.

Facilities include:

- UAB Hospital-Highlands
- The Kirklin Clinic of UAB Hospital
- Spain Rehabilitation Center
- Women and Infants Center
- Center for Psychiatric Medicine
- The Kirklin Clinic at Acton Road
- UAB Health Centers
- UAB Callahan Eye Hospital
- UAB Urgent Care
UAB School of Medicine
University of Alabama Health Services Foundation, P.C.

For more information on the UAB Health System, please see http://www.uabmedicine.org/home.

IDEAL ATTRIBUTES OF THE VICE CHANCELLOR FOR FINANCE AND ADMINISTRATION

The Vice Chancellor for Finance and Administration is responsible for ensuring System-wide fiscal integrity and working collaboratively with the campus chief financial officers, who are responsible for day-to-day fiscal management and financial operations on their respective campuses. The Vice Chancellor will also actively collaborate with state and regional leaders in higher education, business, and government to advance new initiatives and maximize the role of the UA System in growing the economy and enhancing the quality of life for all citizens.

The successful candidate will succeed C. Ray Hayes, who has been selected to lead the UA System as its next Chancellor. The System is currently experiencing a smooth and seamless leadership transition as retiring Chancellor Robert E. Witt concludes an extremely successful tenure.

Among specific responsibilities of the Vice Chancellor position are:

- Leading the annual budget development process, including institutional planning, prioritization of initiatives, resource alignment and allocation, and presentation of the budget to the Board;
- Supporting the three campuses and Health System in their missions of teaching, research, outreach, and patient care by seeking new opportunities for collaboration, streamlining administrative operations, and ensuring the financial integrity of all UA System operations;
- Overseeing the rolling five-year capital plan and annual updates for all physical plant construction and renovation projects;
- Managing System-wide investment activities, including the $1.2 billion pooled endowment fund, assisted by an Investment Advisor and investment team;
- Providing leadership and governance for the UAB Health System as a member of its Board of Directors;
- Maintaining the finances of the UAS office, which includes 85 FTEs.
SELECTION PROCESS

The Search Advisory Committee will begin reviewing candidate materials in January 2016 and will continue until the position is filled. Electronic submissions are required. Nominations, inquiries, and applications (to include a letter of interest, curriculum vitae, and the names, addresses, telephone numbers, and email addresses of five references – who will not be contacted without permission) should be submitted, in confidence, to:

AlabamaSystemVCFA@academic-search.com.

Confidential discussions about this opportunity may be arranged by contacting Ann Die Hasselmo at Ann.Hasselmo@academic-search.com or Chris Butler at Chris.Butler@academic-search.com.

Further information about The University of Alabama System is available at http://uasystem.ua.edu/.

The University of Alabama System is an equal opportunity, affirmative action employer.